



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/880,695	06/12/2001	Stephan Heck	H 3172A-PCT/US

CONFIRMATION NO. 6462

23657  
COGNIS CORPORATION  
2500 RENAISSANCE BLVD., SUITE 200  
GULPH MILLS, PA 19406

## FORMALITIES LETTER



\*OC000000006278582\*

Date Mailed: 07/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/18/2001 BABRAHA1 00000060 501177 09880695

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Case H 3172A-PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of  
Heck, et al.

Serial No. 09/880,695

Examiner:

Filed: 06/12/2001

Art Unit:

TITLE: UNSATURATED PALM OIL FATTY ALCOHOLS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on 10-10-01.

October 10, 2001

Date

Rose A. Stowe

Signature of certifier

Rose A. Stowe

Typed or printed name of certifier

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted, mailed on July 10, 2001 ("the Notice"). This response is being timely filed as a Petition for a one-month extension of time, up to and including October 10, 2001, including an authorization to charge fees, is being submitted herewith.

The Notice indicates that the statutory basic filing fee is missing. Applicants are required to submit the basic filing fee and the late filing fee surcharge set forth in 37 C.F.R. §1.16(e), in order to avoid abandonment. Additionally, the Notice indicates that an Abstract of the Disclosure in accordance with 37 C.F.R. §1.72(b) is missing. Applicants respectfully note that the abstract of the published International

Serial No. 09/880,695  
Filed: 06/12/2001

Application, upon which this application and its parent are based, is referenced and incorporated in the preliminary amendment filed by Applicants in the instant application on June 12, 2001. However, for the Examiner's convenience, Applicants submit herewith a separate page containing a clean copy of the Abstract of the Disclosure.

Please charge the statutory filing fee and late filing fee surcharge to our **Deposit Account No. 50-1177** in the amount of **\$870.00**. (Order No. 01-0636). A triplicate copy of this page is enclosed for fee purposes.

Applicants submit that this submission constitutes a complete and timely response to the Notice. Prompt examination of the instant application in view of the instant response is respectfully requested. It is requested that the undersigned be notified promptly of any perceived deficiency in the response.

Respectfully submitted,

October 10, 2001  
Date

Aaron R. Ettelman  
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